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(PATENT)

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In re Patent Application of:
Ryuji Ishiguro et al.

International Application No.: PCT/JP01/02929

Group Art Unit: N/A

International Filing Date: April 4, 2001

Examiner: Not Yet Assigned

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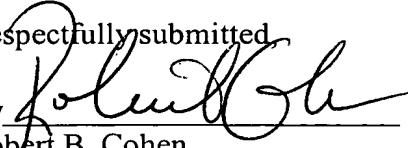
Dear Sir:

It is respectfully requested that the references listed on the enclosed Form PTO-1449 be made of record and considered with respect to the above-referenced U.S. patent application. A copy of each reference is enclosed. These references were cited in the background section of the present specification and/or a corresponding International Search Report, a copy of which is enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

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Dated: December 3, 2001

Respectfully submitted,

By 
Robert B. Cohen

Registration No.: 32,768
LERNER, DAVID, LITTBENBERG,
KRUMHOLZ & MENTLIK, LLP
600 South Avenue West
Westfield, New Jersey 07090
(908) 518-6316
Attorneys for Applicant

PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO				Complete if Known	
				Application Number	Not Yet Assigned
				Filing Date	
				First Named Inventor	Ryuji Ishiguro
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	SONYJP-161

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
A	US-6,049,878-	04-11-2000	Caronni, et al.		
B	US-5,748,736-	05-05-1998	Mittra		

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)			
C	JP-11-187013-A	07-09-1999	IBM Japan, Ltd.		**
D	WIPO-01/03364-A1	01-11-2001	Matsushita Electric Ind. Co.,		✓
E	WIPO-01/03365-A1	01-11-2001	Matsushita Electric Ind. Co		✓

¹Applicant's unique citation designation number (optional). ²See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T ²
	F	WALDVOGEL, M., et al., "The VersaKey Framework: Versatile Group Key Management", IEEE Journal on Selected Areas in Communications, Vol. 17, No. 9, pages 1614 to 1631, September 1999 (09.99), pages 1616, right column to page 1621, left column			✓
	G	WONG, C. K. et al., "Secure Group Communications Using Key Graphs" Proceedings of ACM SIGCOMM'98, pages 68 to 79, 02 September, 1998 (02.09.98), 3.4 Leaving a tree key graph (http://www.acm.org/sigcomm/sigcomm98/tp/technical.html)			✓
	H	Chang, et al, "Key Management for Secure Internet Multicast Using Boolean Function Minimization Techniques", Proceedings of Inocom'99, Vol. 2, p. 689-698 24 March, 1999 (24.03.99) II. KEY MANAGEMENT SCHEME (http://www.ieee-infocom.org/1999/)			✓

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